

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Roman Heckt

Appln. No.:

Filed: Concurrently herewith

For: HEAT EXCHANGER ASSEMBLY

Attorney Docket No: 10541-1989

Examiner:

Art Unit:

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

DOCUMENT NUMBER	DATE	NAME
2,617,634	11/11/1952	Jendrassik
4,081,025	03/28/1978	Donaldson
4,949,779	08/21/1990	Kenny et al.
4,976,309	12/11/1990	Averin
5,884,696	03/25/1999	Loup
2001/0035286	11/01/2001	Kobayashi et al.

DOCUMENT NUMBER	DATE	COUNTRY
DE 198 55 309 C 2	05/23/2002	Germany

A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

BRINKS  
HOFER  
GILSON  
& LIONE

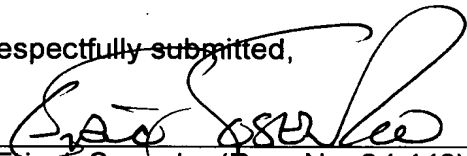
BRINKS HOFER GILSON & LIONE  
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By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. § 1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

March 17, 2004  
Date

Respectfully submitted,

  
Eric J. Sosenko (Reg. No. 34,440)  
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)  
Copy of foreign reference

